

IN CONFIDENCE WITH CONFIDENCE

If you think a firm or individual is involved in wrongdoing in an area we regulate and you need to make a report in confidence, you can speak to us. Every report we receive will be considered and we will protect your identity.



DECIDING TO SPEAK TO US A GOOD CALL

If you are considering making a report to us about your employer, or someone that you know well, you may be nervous and have questions about what will happen next.

We understand that making a report isn't something that is done lightly and that a lot may have happened before you have considered taking this step.

You should know that we greatly value the information that whistleblowers share with us in confidence. If you contact us, we will protect your identity throughout the process.



Protecting the identity of our whistleblowers is at the heart of what we do. Our team will work with you to ensure we understand what has happened, or may happen in the future.

We will use your information to shape the work we do and prevent it from becoming known that you contacted us. You won't need to prove to us that you are right.

We will only ask you to share with us what is already in your knowledge.

We store the details of whistleblowers securely and limit access to this information to the Whistleblowing team.

Providing us with your details is up to you – we will accept and process your information if you want to remain anonymous as well.

HOW WE PROTECT WHISTLEBLOWERS' IDENTITIES

For more information on how we protect whistleblowers' identities or the feedback we can provide to whistleblowers, please visit [fca.org.uk/firms/whistleblowing](https://www.fca.org.uk/firms/whistleblowing)

Staying anonymous

You can choose to remain anonymous, and many people do. If you do share with us any information about yourself we will keep this safe. We will not confirm the existence of a whistleblower when making enquiries, or to anyone outside of the FCA, unless we are legally obliged to do so.



WHAT PEOPLE BLOW THE WHISTLE ABOUT



We receive reports from whistleblowers with concerns about many different issues.

These include:

- mis-selling
- treating customers fairly
- money laundering
- fitness and propriety
- systems and controls
- unauthorised business

If you think a firm or individual is involved in wrongdoing within an area we regulate, you can report it confidentially by contacting our Whistleblowing team by:

Phone

44 (0)20 7066 9200

during office hours or leave a message

Email

whistle@fca.org.uk

Post

Intelligence Department (Ref PIDA),
Financial Conduct Authority,
12 Endeavour Square,
London, E20 1JN

We can arrange to meet with you if you would like to discuss your concerns further in person. Before meeting with you we may require you to provide some information about yourself.

To find out more, please contact the Whistleblowing team on the contact details above.